

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



are application of:

ITH et al.

Serial No: 10/602,761

Filed: June 25, 2003

For: DATA HEALTH MONITOR FOR
FINANCIAL INFORMATION
COMMUNICATIONS NETWORKS-

Art Unit 2165

Examiner: N. Rosen

Atty. Docket: 000482.00002

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.56 and in compliance with 37 C.F.R. §1.97, Applicants submit herewith one Form PTO-1449 identifying information for consideration by the Examiner. A copy of each item of information is attached.

Applicants do not waive any right to take appropriate action to establish patentability over the listed documents should they be applied as a reference against the claims of the present application.

Consideration of the cited information and making the same of record in the prosecution of the referenced application are respectfully requested. Should the Patent and Trademark Office determine that a fee is required, please charge our Deposit Account No. 19-0733.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Anthony W. Kandare,
Registration No. 48,830

1001 G. Street, N. W.
Washington, D. C. 20001-4597
(202) 824-3000
Dated: Aug. 18, 2003
AWK:cmcg

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	2
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Complete if Known

Application Number	10/602,761
Filing Date	June 25, 2002
First Named Inventor	Christina Smith
Group Art Unit	2165
Examiner Name	N. Rosen
Attorney Docket Number	000482.00002

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Application Number	10/602,761
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First Named Inventor	Christina Smith
Group Art Unit	2165
Examiner Name	N. Rosen
Attorney Docket Number	000482.00002

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Anonymous, "Bits and PCs", PC User, Number 145, Page 119, November 7, 1990	
		Anonymous, "Lead Story: Rocky Road For Micrognosis System At Nomura" Merit Hobbled, Telerate Certification Lags", Trading Systems Technology, Volume 6, Number 11, November 30, 1992	
		Anonymous, "CSK Pushes Real-time Market Data Over The Internet", Multimedia Business Analyst, Number 8, Page 8, February 12, 1997	
		Paulus, "Well Speculated", http://www.slingshot.ie/press/global.htm , August 1997	

Examiner Signature		Date Considered	
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